

From: Graham Gibbens, Cabinet Member for Adult Social Care and Public Health.

Andrew Ireland, Corporate Director for Social Care, Health and Wellbeing.

To: Adult Social Care and Health Cabinet Committee.

26 September 2014

Subject: Risk Management – Adult Social Care.

Classification: Unrestricted

Past Pathway: Previous report presented 11 July 2014.

Future Pathway: None

Electoral Division: All

Summary: This paper presents the risks for Adult Social Care and sets out the Directorate approach to risk management.

Recommendation(s): The Cabinet Committee is asked to consider and comment on the risks presented.

1. Introduction

1.1 Kent Adult Social Care, along with similar services in other Local Authorities, is facing a time of significant challenge and change. This gives rise to a number of significant risks including the risks associated with:

- The Transformation Programme
- Austerity and the need to make substantial savings
- The need to safeguard vulnerable adults
- Integration with health
- Planning for the introduction of the Care Act
- The re-alignment and re-organisation of services
- Shaping and influencing the wider social care market

1.2 In addition to the more strategic risks outlined above, the service also has to be prepared for, and responsive to, operational risks. One example is a Supreme Court Judgement on 19 March 2014 relating to Deprivation of Liberty. The Judgement broadened the criteria for Deprivation of Liberty (DoL) cases and this has resulted in a large

increase in the number of DoLs assessments required and associated costs.

- 1.3 The risk registers are “live” documents to reflect changes in the types and levels of risk.

2. The Social Care Health and Wellbeing Risk Register

- 2.1 The key Adult Social Care risks are included in the Social Care Health and Wellbeing Risk Register which is attached to this report (Appendix1).
- 2.2 The Social Care, Health and Wellbeing Directorate Management Team formally reviews the risks, including progress against mitigating actions, on a quarterly basis, although individual risks can be identified and added to the register at any time.
- 2.3 There are currently 15 strategic risks featured on the Social Care, Health and Wellbeing risk register. The risks reflect the current challenges and changes taking place. The risks have controls and planned actions in place to manage them. Many of the risks highlighted on the register are discussed implicitly as part of regular items to Cabinet Committees.
- 2.4 Inclusion of risks on the Directorate register does not necessarily mean there is a problem. On the contrary, it can give reassurance that they have been properly identified and are being managed proactively.

3. Managing risk

- 3.1 KCC has a county wide approach to risk management and it forms part of the Council’s Internal Control Framework.
- 3.2 In addition to the Directorate wide risk register, risks registers are also monitored and reviewed at Divisional Management Meetings and as part of significant Directorate programmes and projects.
- 3.3 Key Directorate risks are included in the Corporate KCC risk register.

4. Recommendation

Recommendation:

The Cabinet Committee is asked to consider and comment on the adult social care risks in the Social Care, Health and Wellbeing risk register.

5. Contact details

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